

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Brian G. Duperrouzel and Angus M. Chassels IV
 Attorney's Docket No: 520044.401
 Application No.: 09/124,468
 Filed: July 28, 1998
 For: WEB PAGE DISPLAY SYSTEM

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
 (37 C.F.R. §§ 1.9(f) AND 1.27(c)) -- SMALL BUSINESS CONCERN**

I declare that I am:

- ☐ the owner of the small business concern identified below.
☒ an official of the small concern empowered to act on behalf
 of the concern identified below.

NAME OF CONCERN: KatieSoft, Inc.
 ADDRESS OF CONCERN: 777 Florida Street, Suite 302
San Francisco, California 94110

I declare that the above-identified small business concern qualifies as a small business concern as defined in 13 C.F.R. §§ 121.3-18 and reproduced in 37 C.F.R. § 1.9(d) for purposes of paying reduced fees under 35 U.S.C. §§ 41(a) and 41(b) in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I declare that rights under contract or law have been conveyed to and remain with the small business concern with regard to the invention entitled:

WEB PAGE DISPLAY SYSTEM

by inventors: Brian G. Duperrouzel and Angus M. Chassels IV
 as described in:

- ☐ the specification filed herewith.
☒ Application No. 09/124,468, filed 07/28/98.
☐ Patent No. _____, issued _____.

If the rights held by the small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the

invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 C.F.R. § 1.9(c) or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).*

*NOTE: Separate verified statements are required from each named person, concern and organization having rights to the invention averring to his/its status as a small entity. (37 C.F.R. § 1.27)

FULL NAME _____

ADDRESS _____

- ☐ individual
☐ small business concern
☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earlier of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that the making of willfully false statements and the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: _____ Brian Gerard Duperrouzel _____

TITLE OF PERSON: _____ President _____

ADDRESS OF PERSON SIGNING: _____ 777 Florida Street, Suite 302 _____

San Francisco, California 94133 _____

SIGNATURE: _____  _____

DATE: _____ 9-22-98 _____

ASSIGNMENT

WHEREAS, we, Brian Gerard Duperrouzel and Angus McNab Chassels IV (hereinafter referred to as ASSIGNORS), both having a post office address of 391 Green Street, San Francisco, California 94133, are the joint inventors of an invention entitled "WEB PAGE DISPLAY SYSTEM," as described and claimed in the specification for which an application for United States letters patent was filed on July 28, 1998 and assigned Application No. 09/124,468.

WHEREAS, KatieSoft, Inc. (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 777 Florida Street, Suite 302, San Francisco, California 94110, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

9-22-98
Date

Brian Gerard Duperouzzel
Brian Gerard Duperouzzel

State of California
County of San Francisco

ss.

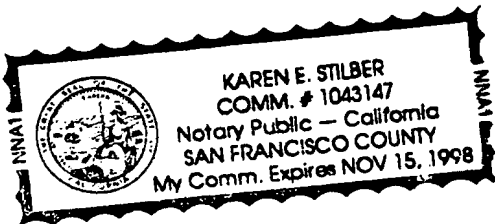
I certify that I know or have satisfactory evidence that Brian Gerard Duperouzzel is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated 9-22-98

Signature of Karen E. Stilber
Notary Public

Printed Name Karen E. Stilber

My appointment expires Nov. 15, 1998



Date
State of California
County of San Francisco

Angus McNab Chassels IV
Angus McNab Chassels IV

ss.

I certify that I know or have satisfactory evidence that Angus McNab Chassels IV is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated 9-22-98

Signature of Karen E. Stilber
Notary Public

Printed Name Karen E. Stilber

My appointment expires Nov. 15, 1998

